

# ViewTrade International IFSC Pvt Ltd

## Trade Handling Disclosure

Last Modified Date February 9, 2026

---

All terms in this agreement are the same as defined in the current VTI IFSC Customer Account Agreement (the “Agreement”). This Disclosure, and any subsequent modification, is incorporated into the VTI IFSC Customer Account Agreement “Agreement”. You are bound to the most recent version of this Disclosure and the Agreement which is posted to our website at [viewtrade.in/agreements](https://viewtrade.in/agreements).

We provide trade execution services in global markets and asset classes for your Account following the policies and procedures contained in the Agreement and this Disclosure. The following describes VTI IFSC role in handling trade orders. The terms here are subject to change and may be further qualified if you are using an Introducing Firm.

### 1. **Self-Directed**

VTI IFSC does not provide investment advice, recommendations, or directions to you regarding your investment decisions, and considers every order we receive for your Account as your self-directed order. We will process trade orders and instructions as received from you or your Authorized Person for your Account without further inquiry or determination of its suitability, accuracy, purpose, or if it was actually authorized by you. You are solely liable for any gains, losses, Margin Loans, Debit Balances, and obligations arising from orders and instructions, and you are responsible to correctly designating your orders and instructions as required in this Disclosure.

### 2. **Electronic Trading Only**

You and Authorized Persons are required to transmit trades order electronically via the secured technology platform that you access using your private log-in credentials. We will send execution information back to you via the same technology. We do not accept trade orders by phone, emails, attachments to emails, faxes, text messages, phone messages, verbal trade orders or instructions, or other means of communicating with us unless we direct you otherwise in very limited circumstances.

### 3. **No Direct Market Access:**

- 3.1. VTI IFSC has independent discretion on rejecting or accepting and handling trade orders or instructions received from you or your Authorized Person, and we have independent discretion on how we route or handle orders and instructions sent to the exchanges and other execution venues, central depositories, sub custodians, transfer agents, issuers and other market participants, or to handle the entire transaction internally.
- 3.2. Once we receive your trade order VTI IFSC has sole independent discretion to *route orders* in its own name to market venues including affiliated entities or to exchanges or to other brokers or market makers, or to *cross orders between customers*, to act as a *principal* and fill the order from our own inventory, act as a *riskless principal* and mark up the price of the execution, or act as an agent only.
- 3.3. Separate from your trade order sent to us, VTI IFSC may send for execution all or part or none of the trade orders to the marketplace, the decision is in our discretion. When we do go to a market venue, the counterparty sees orders we send as our order for our account and will look to us to clear that trade with them independently from your settlement of your order with us for your Account.
- 3.4. So, to be clear, you and your Authorized Person do not have direct market access. Trade orders for your Account are executed by VTI IFSC and not the marketplace and you are obligated to settle your transactions directly with VTI IFSC as posted by VTI IFSC to your Account. Separately, our orders are being executed with exchanges or other execution venues, and we are obligated to clear our trades with the central clearing organizations or directly with the counterpart to our trade. You do not have, and we do not open for you an account with the execution venue or exchange or with the central clearing or central depository organizations.

# ViewTrade International IFSC Pvt Ltd

## Trade Handling Disclosure

Last Modified Date February 9, 2026

---

### 4. VTI IFSC Trade Handling Practices

4.1. **Orders type must be properly designated with the order.** You must designate orders correctly. For example:

4.1.1. **Short Sale:** A sale of securities you do not already own in the Account

4.1.2. **Buy to Close:** A buy to close a short position.

4.1.3. **Buy:** A purchase of a security

4.1.4. **Sell:** Selling a position already long in your Account

4.2. **NO Flip Orders:** You can only sell up to the quantity you already own in your Account and can only buy to cover up to the quantity you are short. You cannot sell more than you are long to create a short or buy to cover more than you are short to create a long position (“Flip Orders”).

4.3. **All Orders are Market Orders unless conditioned by You.** A Market Order is an order that is filled at the prevailing price available on the Bid side (the buyer) or Ask side (the seller) for the quantity of the order, and these quotes are changing in microseconds. Many investors mistakenly believe that when they place an order, it is for the price displayed. In most global markets, the quote displayed is for a very small number of shares at that price, even just 1 share, and orders are competing with all the other global orders for that same symbol at that same time. Hence, it is very unlikely that your order will be actually filled for the quote displayed. In fact, your order may require many different executions filled at different prices over different times and market venues before it is complete.

4.4. **Setting your Order Conditions:** You must enter at the time you place your order any condition that the technology platform supports such as a limit price, a stop price, a stop limit, a trailing limit or trailing stop, a Good Till Canceled or a Good Till date, an all or none, a combination order, a spread order, or any other further condition regarding your order that is permitted by us. Not all trading conditions are available. Once the condition is triggered, the order becomes a market order as long as the condition remains met, and hence your actual fill price may be different than what you set or expected. Note that some technology platform that you may be using will hold the order conditions on their technology platform and only send us orders once that condition is met. If we do receive an order with conditions, we may send that order to a market maker rather than hold it for execution with us. Note that quotes you are seeing may be delayed, derived, not actually available, erroneous, and so forth. Conditions that involve price will generally operate based on real-time quotes that you may not see (changing in milliseconds), and hence conditions may be triggered even if the quote you are seeing has not reached the price condition. As stated in the Customer Account Agreement, all Market Data, including “real time quotes” are informational only and may not be the actual price available in the market when your order is sent. We handle all orders and conditions you set on a best-efforts basis, with no warrant or assurance that the price you expected will be attained or that the quote that triggers the condition is accurate or good for your whole order, and we are not liable to you for any gains, losses, or full, partial, or no fill at all.

4.5. **The Market Session must be properly designated with the order.** You must designate which market session the order is intended for – premarket, regular session, post market, overnight. Generally, orders will not be carried over from one session to the other and will be cancelled when that session ends unless designated a Good Till order. The time a market session is in force varies by exchange. It is your responsibility to know the hours when the session is effective. The default session is the regular market session where the security primarily trades. Good Till orders are generally only accepted for the regular market session. Generally, market orders can only be placed for the regular session. All other market sessions require the use of a limit order.

# ViewTrade International IFSC Pvt Ltd

## Trade Handling Disclosure

Last Modified Date February 9, 2026

---

- 4.6. **Order execution on Best Effort basis:** All orders including conditioned order and fractional orders are executed by VTI IFSC on a best-efforts basis only. VTI IFSC does not guarantee that there will be a market for securities and makes no representations or warranties about its ability or willingness to facilitate trading in any security or product for any quantity, in any market, under any condition, or at any particular time.
- 4.7. **Orders are Not Held to the quoted price:** All orders, including limit orders and other conditioned orders and fractional orders, are marked *Not Held*, which gives VTI IFSC and/or its agents the time and price discretion to execute the order without being held to the security's current quote ("work the order"). Each time you submit an order to buy or sell or short, you are authorizing VTI IFSC and its agents to *work on the order with regard to time, quantity, price, and venue*. The price your order is executed at may be higher or lower than the price you are shown at the time you place your order. Orders placed prior to a market session open may not be executed directly at the open and orders placed close in time to the close of the market session may not be executed or only partially executed.
- 4.8. **Notional Orders** are orders for a specific amount of currency you are looking to commit to the order (for example \$USD), not the quantity of units (for example number of shares). VTI IFSC will calculate the executed quantity of units posted to your Account by dividing the notional value of the order by the adjusted execution price inclusive of Transaction Fees. Due to rounding, rapid price movements, delayed quotes or derived quotes being displayed, Transaction Fee adjustment and other factors, the actual quantity of shares posted, and the execution price used will differ from the quote displayed or limit price set and the quantity expected at the time order was placed. Notional Orders may result in a fractional position on a buy.
- 4.9. **Quantity Orders** are orders for a specific number of shares or units, generally for a round lot of security only. VTI IFSC will calculate the settlement value of the order posted to your Account by multiplying the number of shares executed by the execution price rounded to two decimals and adjusted for the Transaction Fees. Due to rounding, rapid price movements, delayed quotes or derived quotes being displayed, Transaction Fee adjustment and other factors, the actual execution price posted, and the settlement value of the trade will differ from the quote displayed or limit price set and the settlement value expected at the time order was placed.
- 4.10. **Fractional Orders** are orders for less than a round lot of security and quantity can be out to 8 decimal points. Due to rapid price movements, delayed quotes or derived quotes being displayed, Transaction Fee adjustment and other factors, you are likely to overspend your buying power unless you use a Notional Order to place a fractional order. You are expected to use a Notional Order to buy, and we may restrict fractional orders to just notional orders at any time for any security. Quantity orders should be used for selling, and we may restrict sales of fractional positions to Quantity orders only at any time. You are not allowed to establish a fractional short position. NOT all symbols and securities are permitted for Fractional Orders.
- 4.11. **Limitations on Fractional Orders.** Fractional Orders are available only on securities approved for fractional trading by VTI IFSC as determined by VTI IFSC in its sole discretion, and the securities available for fractional trading are subject to change without notice in VTI IFSC's sole discretion. If security is no longer eligible for fractional trading, then your existing fractional position held in your Account may be subject to liquidation only trades, meaning that you cannot buy more fractional positions in the particular security, but you will be able to sell your current fractional position in that security.
- 4.12. **Rounding of Quantity Reflected in your Account.** Where appropriate (such as for equity securities traded on a notional basis or stock dividend), the positions held in your Account are reflected in VTI IFSC's books and records up to eight decimal places, with the normal standard rounding conventions being applied to the eighth digit. We reserve the right at any time to close out any fractional position in exchange for cash.
-

# ViewTrade International IFSC Pvt Ltd

## Trade Handling Disclosure

Last Modified Date February 9, 2026

---

- 4.13. **Round Lot versus Odd Lot.** Every market has set a minimum number of units for security. This is the round lot. Anything less is an Odd Lot. Generally, the round lot is 1 unit but can vary by symbol even within a market. For example, as of September 19, 2025, the board (round) lot size for BYD Company Limited (HK:1211) H shares on the Hong Kong Stock Exchange is 100 shares, while other symbols trade in 1 or 10 or other unit lot sizes. It is important you know the round lot applicable to the symbol and market you are trading. Sending orders that do not meet the round lot convention may cause a delay in the execution of the order as it may need to be handled manually or “off the exchange”. This can substantially delay the execution of an order, including a market order, and the price that it is set can be materially different from the price quoted at the time the order was placed. You are solely responsible for knowing which securities trade in lot sizes different than 1 share, for settling all orders, and for any losses or errors that result from entering duplicate orders, odd lot orders, or the wrong quantity. The round lot can be changed at any time by the exchange. Short position cannot be established using odd lots.
- 4.14. **Order Limitations:** Your ability to submit a Quantity Order, a Notional Order, or a Fractional Order, or a condition on the order is determined by us and if you are using an Introducing Firm, by them. VTI IFSC has the right to restrict, cancel, reverse, or limit any order or condition at any time for any reason at our sole discretion without notice or liability to you or an Authorized Person
- 4.15. **Modifying Orders.** Marketable orders cannot be modified, canceled or replaced. Limit and other conditional orders that remain away from the trigger point may be modified, but VTI IFSC cannot guarantee that the modification will be accepted. You may need to cancel your order outright and then submit a new one.
- 4.16. **Delays in Executing Orders.** During periods of heavy trading and/or wide price fluctuations, there may be delays in executing your order and fractional trading may not be available.
- 4.17. **Trading Halts.** In the event a security or a market is subject to a trading halt by an exchange or by VTI IFSC, your order or the unexecuted portion of your order will be held until trading resumes. The halt may also halt trading in options and other securities linked to that security or market. The price that the security reopens at after a halt may be very different than the price when you placed the order, especially if a market order was placed. Order cancellations or replacements may not be available during a trading halt, and you will be obligated to settle the transaction that results once the halt ends. If you submit an order with a time-in-force instruction for DAY, and trading does not resume or your order is not able to be executed during the market session to which it was designated, then your order will be cancelled, and you will need to resubmit your order. Good Till orders will be retained for each regular market session each day until cancelled or expired. The maximum number of days that a Good Till order is effective before it is cancelled by VTI IFSC is set by us.
- 4.18. **Open Order Impact on Buying Power and General Order Limitations.** All open buy orders (including Good Till orders) reduce buying power available, the quantity of positions available to trade, and the cash available in the Account.
- 4.19. **Trading securities that have been lent out of the Account.** Any security that VTI IFSC lent from your Account to a third party will continue to be shown long in your Account. You retain the right to sell any such securities at any time, and you retain all rights to income and economic interest in the securities (gain and loss from price fluctuations). The VTI IFSC Documents for Fully Paid Stock Lending’s and the VTI IFSC Margin and Short Selling Agreement contains the terms, conditions, and disclosures that permit VTI IFSC to borrow, lend, pledge, rehypothecate, or other actions respectively, and the risk and tax treatment that can arise.
- 4.20. **Liquidation Only Restriction:** If a security is no longer eligible for trading, then your existing position held in your Account may be subject to liquidation only trades, meaning that you cannot increase that security’s quantity, but you will be able to reduce your current position in that security.
- 4.21. **Cancelled Orders:** If you cancel your order, you will need to place it again.
-

# ViewTrade International IFSC Pvt Ltd

## Trade Handling Disclosure

Last Modified Date February 9, 2026

---

- 4.22. **Correcting Trades Post Execution:** VTI IFSC may periodically be required to correct or adjust trades that for a variety of reasons have been executed in amounts that either exceed or fall short of the amounts requested or the buying power of the Account. Trade corrections and adjustments may be executed by VTI IFSC in a principal capacity, and when trading as principal for its own account, VTI IFSC may make a profit or incur a loss.
- 4.23. **Transaction Fees.** VTI IFSC will include fees associated with handling the order in determining the execution price you receive on the order and or the settlement value of the order as posted to your Account. These include execution, clearing, regulatory, exchange, market venue, currency conversion, transaction taxes, markup and mark down, round up and round down, market making, commission, and other related fees applied by VTI IFSC at the time the order is executed. In addition, while VTI IFSC will execute trades at prices beyond two decimal points, in reporting the execution price back to you VTI IFSC will on a buy order round up to two decimal points and a sell order round down to two decimal points. As such, the actual execution price of all orders posted to you, the quantity of shares in a Notional Order, and the settlement value of a Quantity Order will be different than what was expected based on the quote when the order was placed, and different than the limit price placed on the order. These actions may result in VTI IFSC making a profit (or a loss) on your orders even when acting as an agent. You accept that this Disclosure serves as notice to you of the Transaction Fees and methods VTI IFSC apply. You acknowledge and accept that the Transaction Fees may vary or not be known with precision in advance, and VTI IFSC cannot provide you with all the possible fees that can be charged in a fee schedule, and we will not show all of them separately on confirms or other records, as more than one will apply and they will vary by market, security or position type; the exchange or market maker or broker where the order is routed by us; the clearing firm or central clearing and depository involved; and many other factors, and may be applied based on a percentage of the settlement value or share price, per share or per order, a minimum or a maximum, and other varied method of calculation.
5. **Introducing Firms and Authorized Persons.**
- 5.1. When you are the Customer of another registered broker, registered advisor, Global Access Provider, or other registered financial services firm anywhere in the world (the “**Introducing Firm**”) that has engaged us under a separate agreement to facilitate services for your Account, you are placing your order to and through your Introducing Firm and not directly with VTI IFSC. The Introducing Firm may impose additional restrictions and requirements before they will accept your order for transmission to us.
- 5.2. VTI IFSC may act on any trade order or instruction delivered to us without any further inquiry or determination by us for any reason, including as to its validity, suitability, purpose, completeness or accuracy and without further notice to you or instruction from you, and you agree to indemnify us fully against any claim by you, the Introducing Firm, or any third party arising from our acting accordingly. You remain liable for any and all obligations and amounts due to VTI IFSC for your Account.
- 5.3. Your primary relationship as a Customer and for the Account is with your Introducing Firm. All communications to or from us is through your Introducing Firm. You must contact your Introducing Firm if you have any questions or concerns regarding your trade order, instructions, information you are providing or receiving, and for any other matter related to your Account and the VTI IFSC Services. Additional information is contained in the VTI IFSC Documents.
- 5.4. VTI IFSC has no responsibility for your Introducing Firm or Authorized Person and the services it provides to you and does not supervise or monitor the Introducing Firm or the Authorized Person or its conduct, quality of service, or compliance with Laws and Regulations or the terms of its agreement with you.
- 5.5. You, and any person claiming through you, shall not hold VTI IFSC, its members, owners, affiliates, officers, directors, employees, representatives or agents liable for any matter, including trading losses, investment losses, obligations or lost opportunity incurred by you arising from your, the Introducing Firm’s or the Authorized Person’s act or omission and for VTI IFSC acting or deciding not to act on any information, trade order, or instructions received from you, your Introducing Firm or your Authorized Person.
-

# ViewTrade International IFSC Pvt Ltd

## Trade Handling Disclosure

Last Modified Date February 9, 2026

---

- 5.6. Your Introducing Firm or Authorized Person may charge you fees for its services in pursuance to its agreement with you and its separate agreement with us. The Introducing Firm or Authorized Person may instruct us under its agreement with us or under your authorization to us to charge such fees against your Account or transactions occurring therein, and remit such amounts to them, and you indemnify us for acting on such instructions. We may also pay them for services being rendered, including from amounts we collect from you under such agreements. The Introducing Firm or Authorized Person is responsible to inform you if this is the case and all inquiries should be to them.
6. **Disclosure cannot cover every element:** The above represent the common elements involved. Because of the complexity of global financial services, not every possible element can be covered here. If you have any questions or concerns, your Introducing Firm will assist you. If you are not working with an Introducing firm, you can contact us at [support@viewtrade.in](mailto:support@viewtrade.in).